

18<sup>th</sup> October, 2016

1. **The Secretary**  
**BSE Limited**  
**Phiroze Jeejeebhoy Towers,**  
**25<sup>th</sup> Floor, Dalal Street,**  
**Mumbai – 400 001**

**Fax No : 022-22722041/22723719, 22722039**

2. **The Secretary,**  
**National Stock Exchange of India Limited.**  
**Exchange Plaza,**  
**Bandra Kurla Complex,**  
**Bandra (East)**  
**Mumbai – 400051**

**Fax No : 022-26598237/26598238**

**Reg.: Submission of Shareholding Pattern for the quarter ended 30<sup>th</sup> September, 2016**

Dear Sir,

In Pursuance of Regulation 31(1)(b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing a copy of the Shareholding Pattern as on 30<sup>th</sup> September, 2016.

Thanking you,

Yours faithfully,  
for **Godfrey Phillips India Limited**



**Sanjay Gupta**  
**Company Secretary**

Encl.: As above

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### General information about company

Scrip code	500163
Name of the company	GODFREY PHILLIPS INDIA LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2016
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)



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Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No





Annexure

Table 1: Summary of the vesting holding of specified securities

Note: Data will be automatically populated from dematerialized pattern sheet. Data Entry Restricted in this sheet

Company ID	Company Name	No. of Shareholders (SI)	No. of Party holding shares as result of vesting (S)	No. of Shares underlying Depository Receipt (DR)	Total Nos. Shares Held (SI+ S+ DR)	Representing as a % of total shares (SI+ S+ DR) As a % of (SI+DR) (VI)	Number of Voting Rights held in each class of securities (VII)				Total as a % of (VI+VII) (X)	No. of Shares underlying Depository Receipts (DR)	No. of Shares underlying securities and its CI (VIII)	Participating as a % of (VI+VII+VIII) As a % of (VI+VII+VIII) (XII)	Number of Locked-In Shares (IX)	Number of Shares pledged or otherwise encumbered (X)	Number of equity shares held in dematerialized form (XI)
							Class	Qty	Class	Qty							
01	Promoter & his/her Estate	2	748277	7336	318177	7336	37,681,776.00	27,968,830.00	73.36	37,681,776.00			7336	27,968,830.00		27,976,166.36	27,976,166.36
02	Public	1099	105914		1452814	27.34	34,538,244.00		27.34	34,538,244.00							34,538,244.00
03	Non-Resident Indian (NRI)																
04	Foreign Institutional Investor (FII)																
05	Foreign Venture Capital Investor (FVCI)																
06	Other																
07	Others																
	<b>Total</b>	<b>1099</b>	<b>857391</b>	<b>7336</b>	<b>1452814</b>	<b>27.34</b>	<b>34,538,244.00</b>	<b>31,987,660.00</b>	<b>29.06</b>	<b>34,538,244.00</b>	<b>31,987,660.00</b>	<b>29.06</b>	<b>31,987,660.00</b>	<b>29.06</b>		<b>34,538,244.00</b>	<b>34,538,244.00</b>



Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VI) = (V)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)		Shareholding, as a % assuming full conversion of convertible securities as a percentage of diluted share capital (XI) = (VI)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)
						No of Voting (XIV) Rights	Total as a % of Total Voting rights		
<b>A Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>									
<b>(1) Indian</b>									
(a)	Individuals/Hindu undivided Family	5	22940	22940	0.04	22940.00	22940	0.04	17500
(b)	Central Government/ State Government(s)								
(c)	Financial Institutions/ Banks	19	24392361	24392361	46.91	24392361.00	24392361	46.91	24347361
(d)	Any Other (specify)	24	24415301	24415301	46.96	24415301.00	24415301	46.96	24364861
	<b>Sub-Total (A)(1)</b>								
<b>(2) Foreign</b>									
(a)	Individuals (NonResident Individuals/ Foreign Individuals)								
(b)	Government								
(c)	Institutions								
(d)	Foreign Portfolio Investor								
(e)	Any Other (specify)	1	13050475	13050475	25.10	13050475.00	13050475	25.10	13050475
	<b>Sub-Total (A)(2)</b>	1	13050475	13050475	25.10	13050475.00	13050475	25.10	13050475
	<b>Total Shareholding of Promoter and Promoter Group (A)=[A](1)+[A](2)</b>	25	37465776	37465776	72.06	37465776.00	37465776	72.06	37415336

Details of Shares which remain unclaimed for Promoter & Promoter Group

<b>B Table III - Statement showing shareholding pattern of the Public shareholder</b>									
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
(b)	Venture Capital Funds								
(c)	Alternate Investment Funds								
(d)	Foreign Venture Capital Investors								
(e)	Foreign Portfolio Investors	44	5209394	5209394	10.02	5209394	5209394	10.02	5208894
(f)	Financial Institutions/ Banks	15	81387	81387	0.16	81387	81387	0.16	59012
(g)	Insurance Companies								
(h)	Provident Funds/ Pension Funds								
(i)	Any Other (specify)	1	1500	1500	0.00	1500	1500	0.00	1500
	<b>Sub-Total (B)(1)</b>	73	5483362	5483362	10.55	5483362.00	5483362	10.55	5460112
(2)	Central Government/ State Government(s)/ President of India	1	24000	24000	0.05	24000	24000	0.05	0
	<b>Sub-Total (B)(2)</b>	1	24000	24000	0.05	24000.00	24000	0.05	0
<b>(3) Non-institutions</b>									
(a)(ii)	Individuals - Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	16877	6380809	6380809	12.27	6380809	6380809	12.27	4887258

Note : Kindly show details of shareholders having more than one percentage of total no. of shares. Please refer software manual.



(a) (ii)	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	3	734500	734500	1.41	734500	734500	1.41	1.41	734500
(b)	NBFCs registered with RBI									
(c)	Employee Trusts									
(d)	Overseas Depositories (holding DRs) (balancing figure)									
(e)	Any Other (specify)	1612	1905473	1905473	3.66	1905473	1905473	3.66	3.66	1876448
	Sub-Total (B)(3)	18492	9020782	9020782	17.35	9020782.00	9020782	17.35	17.35	7498306
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	18566	14528144	14528144	27.94	14528144.00	14528144	27.94	27.94	12958418

Details of the shareholders acting as persons in Concert for Public

Details of Shares which remain unclaimed for Public

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

(1)	Custodian/DR Holder- Name of DR Holders (If Available)										
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)										
	<b>Total NonPromoter- Non Public Shareholding (C= (C)(1)+(C)(2)</b>	18591	51993920	51993920	100.00	51993920.00	51993920	100.00	100.00	50373754	
	<b>Total (A+B+C)</b>	18591	51993920	51993920	100.00	51993920.00	51993920	100.00	100.00	50373754	

Disclosure of notes on shareholding pattern

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Serial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VI) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+H+C)	Number of Voting Rights held in each class of securities			Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)/(a) As a % of (A+B+CZ)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN
						No of Voting (XIV) Rights:		Total as a % of Total Voting rights			
						Class eg. X	Total				
A1(a)	Individuals/Hindu undivided Family										
1	DIVYA TONGYA	AJZPM91068	11500	11500	0.02	11500.00	11500.00	0.02	11500		
2	RITIKA N RUNGTA	ABZPR40371	5440	5440	0.01	5440.00	5440.00	0.01	0		
3	KRISHAN KUMAR MODI	AAANPM0159M	2000	2000	0.00	2000.00	2000.00	0.00	2000		
4	SAMIR MODI	AAVPM0628H	2000	2000	0.00	2000.00	2000.00	0.00	2000		
5	RUCHIR KUMAR LALIT MODI	AAAPP4175M	2000	2000	0.00	2000.00	2000.00	0.00	2000		
		Total	22940	22940	0.04	22940.00	22940.00	0.04	17500		

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Serial No.	Category	Name of the Shareholders (I)	PAN (II)	No. of the Shareholders (I)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VI) = (IV)+(V)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Total as a % of Total Voting rights	Conversion of convertible securities (as a percentage of diluted share capital) (XII) = (VII)+(K)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN
								Class eg. X	Total	No of Voting Rights				
A1(d)	Any Other (specify)													
1	Bodies Corporate	K.K. INDU INVESTMENT AND FINANCIAL SERVICES PVT. LTD.	AAAC0832L	1	15196660	15196660	29.23	15196660.00	29.23	29.23	0.16	15196660		
2	Bodies Corporate	GOOD INVESTMENT (INDIA) LTD.	AAAC0977B	1	4309220	4309220	8.29	4309220.00	8.29	8.29	0.16	4309220		
3	Bodies Corporate	QUICK INVESTMENT (INDIA) LTD.	AAAC0022D	1	2166800	2166800	4.17	2166800.00	4.17	4.17	0.16	2166800		
4	Bodies Corporate	SUPER INVESTMENT (INDIA) LTD.	AAACS145M	1	527260	527260	1.01	527260.00	1.01	1.01	0.16	527260		
5	Bodies Corporate	SPICEBALLS INVESTMENTS LTD	AAACT704K	1	83591	83591	0.16	83591.00	0.16	0.16	0.16	83591		
6	Bodies Corporate	LONGWELL INVESTMENT PVT LTD	AAAC1316G	1	80000	80000	0.15	80000.00	0.15	0.15	0.15	80000		
7	Bodies Corporate	SWASTI INVESTMENT PVT LTD	AAACS802P	1	80000	80000	0.15	80000.00	0.15	0.15	0.15	80000		
8	Bodies Corporate	MOTTO INVESTMENT PVT LTD	AAACM732G	1	79000	79000	0.15	79000.00	0.15	0.15	0.15	79000		
9	Bodies Corporate	HMA LUYOS PRIVATE LTD	AAACH170M	1	68000	68000	0.13	68000.00	0.13	0.13	0.13	68000		
10	Bodies Corporate	UPASANA INVESTMENT PVT LTD K.K. INDU INVESTMENT AND FINANCIAL SERVICES PVT. LTD.	AAAC1311F	1	450	450	0.00	450.00	0.00	0.00	0.00	450		
11	Trusts	SENIOR EXECUTIVES (OFFICES) WELFARE TRUSTS K.K. INDU & BIRLA TRUST TRUSTEES	AAAT1575M	1	386280	386280	0.74	386280.00	0.74	0.74	0.74	386280		
12	Trusts	INDOPIIL JUNIOR EMPLOYEES (FACTORY) TRUSTS K.K. INDU & BIRLA TRUST TRUSTEES	AAAT1575A	1	380000	380000	0.73	380000.00	0.73	0.73	0.73	380000		
13	Trusts	JUNIOR EMPLOYEES (OFFICES) TRUSTS K.K. INDU & BIRLA TRUST TRUSTEES	AAAT1575N	1	308560	308560	0.59	308560.00	0.59	0.59	0.59	308560		
14	Trusts	INDOPIIL SENIOR EXECUTIVES (FACTORY) TRUSTS K.K. INDU & BIRLA TRUST TRUSTEES	AAAT1575C	1	308560	308560	0.59	308560.00	0.59	0.59	0.59	308560		
15	Trusts	INDOPIIL SENIOR EXECUTIVES (OFFICES) TRUSTS K.K. INDU & BIRLA TRUST TRUSTEES	AAAT1575R	1	141360	141360	0.27	141360.00	0.27	0.27	0.27	141360		
16	Trusts	INDOPIIL JUNIOR EMPLOYEES (OFFICES) TRUSTS K.K. INDU & BIRLA TRUST TRUSTEES	AAAT1575M	1	108220	108220	0.21	108220.00	0.21	0.21	0.21	108220		
17	Trusts	INDOPIIL SENIOR EXECUTIVES (FACTORY) TRUSTS K.K. INDU & BIRLA TRUST TRUSTEES	AAAT1575B	1	100560	100560	0.19	100560.00	0.19	0.19	0.19	100560		
18	Trusts	WAVE MILLS CO. LTD. (HEADOFFICE) TRUSTS K.K. INDU & BIRLA TRUST TRUSTEES	ZZZZ5992Z	1	45000	45000	0.09	45000.00	0.09	0.09	0.09	45000	3	
19	Trusts	INDOPIIL SENIOR EXECUTIVES (OFFICES) TRUSTS K.K. INDU & BIRLA TRUST TRUSTEES	AAAT1576L	1	22840	22840	0.04	22840.00	0.04	0.04	0.04	22840		
		<b>Total</b>		<b>19</b>	<b>34392361</b>	<b>34392361</b>	<b>46.91</b>	<b>34392361.00</b>	<b>46.91</b>	<b>46.91</b>	<b>46.91</b>	<b>34392361</b>	<b>24347361</b>	

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Serial No.	Category	Name of the Shareholders (I)	PAN (II)	No. of the Shareholders (I)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VI) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities		Total as a % of Total Voting Rights	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)/(X) As a % of	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN
								Class: eg: X	Total				
A2(d) Any Other (specify)													
Add Update													
1	Bodies Corporate	PHILIP MORRIS GLOBAL BRANDS INC	AAGCP132AM	1	13050475	13050475	25.10	13050475.00	13050475.00	25.10	25.10	13050475	
				Total	1	13050475	25.10	13050475.00	13050475.00	25.10	25.10	13050475	



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Serial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN
						No of Voting (XIV) Rights		Total as a % of Total Voting rights			
						Class	Total				
<b>B1(e) Foreign Portfolio Investors</b>											
<input type="button" value="Add"/> <input type="button" value="Delete"/>											
1	National Westminster Bank Plc As Trustee C	AABTT4862E	2491033	2491033	4.79	2491033.00	2491033.00	4.79	4.79	2491033	
2	Jupiter South Asia Investment Company Lim	AAACB9126B	1100000	1100000	2.12	1100000.00	1100000.00	2.12	2.12	1100000	
3	Apms Investment Fund Ltd	AAECM5148A	813775	813775	1.57	813775.00	813775.00	1.57	1.57	813775	
Total			4404808	4404808	8.47	4404808.00	4404808.00	8.47	8.47		

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Serial No.	Category	Category / More than 1 percentage	Name of Shareholders (i)	PAN (ii)	No. of Shareholders (i)	No. of fully paid up equity shares held (iv)	Total nos. shares held (vii) = (iv)+(v)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (viii) As a % of (A+B+C)	Number of Voting Rights held in each class of securities			Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (ix) = (vii)/(D) As a % of (A+B+C)	Number of equity shares held in dematerialised form (x)	Reason for not providing PAN
									Class	No. of Voting (vi) rights	Total as a % of Total Voting (viii) rights			
									Class					
B3(a)	Any Other (specify)													
1	Trusts	Category			9	20950	20950	0.04		20950	0.04	0.04	2450	11%
2	Foreign Individuals	Category			6	7450	7450	0.00		7450	0.00	0.00	7450	
3	HFI	Category			486	195870	195870	0.38		195870	0.38	0.38	195870	
4	NR - Non Resident	Category			196	75464	75464	0.15		75464	0.15	0.15	8884	
5	Director or Director's Relatives	Category			4	28500	28500	0.25		28500	0.25	0.25	78500	
6	NR - Repat	Category			384	59218	59218	0.11		59218	0.11	0.11	53218	
7	Shareholders	Category			336	267093	267093	0.50		267093	0.50	0.50	267093	
8	Reserve Companies	Category			485	1261228	1261228	2.43		1261228	2.43	2.43	1261228	
					<b>Total</b>	<b>1905473</b>	<b>1905473</b>	<b>3.66</b>		<b>1905473</b>	<b>3.66</b>	<b>3.66</b>	<b>1819488</b>	

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Details of the shareholders acting as persons in Concert for Public

Serial No.	Name of the PAC	Number of shares	Percentage of shareholding by PAC
	<a href="#">Add</a>	<a href="#">Delete</a>	<a href="#">Click here to go back</a>
1	TRUSTEE OF THE JUPITER INDIA FUND	2491033	4.79
2	TRUSTEE OF THE JUPITER ASIAN FUND	170000	0.33
3	JUPITER SOUTH ASIA INVESTMENT COMPANY	1100000	2.12

